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Automatic feature extraction for bearings' degradation assessment using minimally pre-processed time series and multi-modal feature learning

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Abstract: Maintenance activities can be better planned by employing machine learning technologies to monitor an asset's health conditions. However, the variety of observable measures (e.g. temperature, vibration) and behaviours characterizing the health degradation process results in time-consuming manual feature extraction to ensure accurate degradation stage recognitions. Indeed, approaches able to provide automatic feature extraction from multiple and heterogeneous sources are more and more required in the field of predictive maintenance. This issue can be addressed in a data-driven fashion by using feature learning technology, enabling the transformation of minimally processed time series into informative features. Given its capability of discovering meaningful patterns in data while enabling data fusion, many feature learning approaches are based on deep learning technology (e.g. autoencoders). In this work, an architecture based on autoencoders is used to automatically extract degradation-representative features from minimally preprocessed time series of vibration and temperature data. Different autoencoder architectures are implemented to compare different data fusion strategies. The proposed approach is tested considering both the recognition performances and the quality of the learned features with a publicly available real-world dataset about bearings' progressive degradation. The proposed approach is also compared against manual feature extraction and the state-of-the-art technology in feature learning.

1 INTRODUCTION

The paradigm known as Industry 4.0 recommends the integration of machine learning in industrial processes to improve them (Alfeo et al., 2021) and avoid production inefficiencies (Alfeo et al., 2020). Regarding the maintenance procedures, such technologies are enabling the so-called Predictive Maintenance (PdM) (Jimenez et al., 2020). PdM aims at driving the maintenance operations to execute them just before the breaking point rather than after a failure or according to some regular schedule. This allows avoiding the costs due to a failure while still exploiting the whole remaining useful life (RUL) of an asset's component (Wan et al., 2017).

RUL predictions are often unreliable since they are greatly affected by the usage of the assets while in an unhealthy stage (Lei et al., 2018). For this reason, many real-world applications provide degrada-

tion stage estimations rather than RUL predictions.

The number of stages characterizing the degradation process results from a trade-off between the interpretability of the prediction and the complexity of the degradation process. The more the asset's degradation process is consistent and progressive, the more it can be effectively modeled with a few easy-to-interpret stages. Most of the research works (Lei et al., 2018) divide the degradation process into three (Vinh et al., 2009), others into four (Scanlon et al., 2012), or even five stages (Kimotho et al., 2013).

However, due to the many possible measures to monitor an asset's health condition, and the diversity of the degradation processes across industries and machines, it is difficult to provide a high-quality feature extraction process that is also generalizable among different PdM applications (Ran et al., 2019). Moreover, both too many redundant features and a few less informative ones (i.e. that do not ease the distinction

between the behaviors under analysis), may degrade the performance of algorithms using them (Lorena et al., 2019). This results in a time-consuming collaboration between data scientists and maintenance analysts to manually transform raw signals into informative features for application-specific PdM approaches. In this context, a less manual feature extraction process is more and more needed (Yan and Yu, 2015), and this can be achieved by employing feature learning approaches (Bengio et al., 2013). Contrary to manual knowledge-driven feature extraction (Parola et al., 2022), *feature learning* is an integrated learning process in which algorithms learn to automatically transform minimally processed data into informative features able to simplify a classification task (Vincent et al., 2010). Feature learning does not necessarily require prior domain knowledge, e.g. which features to select and which to eliminate, and it can be done by explicitly maximizing the relationship between the learned features and the target classes.

In this context, the capability of automatically extracting informative features from multiple and heterogeneous sources is more and more required in the field of predictive maintenance (Lei et al., 2018). The convenience of multi-modal ML approaches for PdM is indeed emphasized in different recent surveys such as (Merkt, 2019). This type of data is coming from multiple sensors, which normally would require a specific preprocessing and feature extraction for each one of them. The use of a feature learning approach can therefore be a valid solution to handle this kind of data effectively.

Many recent multi-modal feature learning approaches are based on deep learning technology (Zhong et al., 2019), and especially on deep autoencoders (AE) (Ran et al., 2019). Indeed, by being characterized by hierarchically stacked nonlinear modules, deep learning enables the simultaneous processing of data from different sources or modalities while providing a higher-level representation of the inputs that can be used as features in a classification problem. This study aims to compare the complexity and accuracy of different AE architectures for multimodal feature learning and to exploit those with a well-known PdM benchmark dataset. For instance, concatenating the features obtained by training one AE for each modality may result in a longer training time whereas concatenating the modalities results in a more complex AE architecture. The contribution of this work can be summarized as:

- an architecture to learn degradation-representative features by providing a deep autoencoder-based with minimally preprocessed time series of vibration and temperature data;

- a comparison of different variations of the autoencoder architecture to implement different data fusion strategies;
- an assessment of the quality of the learned features and recognition performances with respect to the classic feature extraction and the state of the art technology in feature learning;

The proposed approach has been tested on 3 real-world cases study characterizing the degradation of industrial bearings via their temperature and vibration. The paper is structured as follows. In section 2, the literature review is presented. Section 3 details the proposed approach. The case study and the experimental setup are presented in sections 4. Finally, section 5 and 6 discuss the obtained results and the conclusions, respectively.

2 RELATED WORKS

In this section, a survey of the state of the art is presented. It addresses deep learning-based feature learning with a focus on approaches based on multimodal feature learning. Deep learning approaches have proven to be effective at providing a non-linear combination of the input data to distill higher-level information, i.e. automatically learning features (Tang et al., 2019).

A deep learning architecture is built by stacking layers of artificial neurons (Gao et al., 2020). The inputs provided to the architecture are processed by each layer, thus each layer produces the features for the next one. This processing is guided by a loss function that minimizes a target error. Thus, is intrinsically a feature learning approach, although depending on the loss used, features may be more or less useful for feature extraction. For instance, the most used deep learning architecture for feature-learning is the so-called autoencoder (AE), which has proven its convenience in learning latent feature representation in many application domains (Deng, 2014).

AE is made of two main components: the *encoder* produces a compact representation of the inputs, whereas the *decoder* reconstructs the input data from such a compact representation. The AE network is then trained through a loss function that maximizes the similarity between its input and output, resulting in a completely unsupervised approach. Once trained the compact representation provided by the encoder can be used as a feature for classification tasks. Specifically designed to be fed by different inputs, the so-called multimodal autoencoder can fuse multi-sensory data while performing feature learning (Yan et al., 2020).

When based on autoencoders, it is possible to provide a feature learning approach with a data fusion mechanism in 3 different ways (Gao et al., 2020):

- *Data-level Fusion*: these approaches learn a multi-modal feature representation by processing the concatenation of the original input data for each modality (Gecgel et al., 2022).
- *Architecture-level Fusion*: these approaches learn a multi-modal feature representation by processing each modality independently, up to the last layers of the neural network architecture that are shared among the different modalities (Shin et al., 2021).
- *Representation-level Fusion*: these approaches learn a multi-modal feature representation by processing independently each modality and concatenating the representation learned from each one of them (Alfeo et al., 2022).

As an example, in (Ngiam et al., 2011) many AE deep learning approaches for handling multi-modal audio-video features are proposed and discussed. In particular, the so-called shared modality AEs which take concatenated multi-modal features as input and reconstruct them (data-level Fusion), and multi-Modal AEs which are multi-input-multi-output networks (Architecture-level Fusion), in which one modality is provided at each input level and processed by the network together with the others and then reconstructed separately at each output level.

Alternatively, to feature learning approaches based on AE, a neural network can directly learn a new set of features by employing specifically designed loss functions. An example of this is the multi-similarity loss provided by Tensorflow Similarity (Elie Bursztein, 2021) which represents the state-of-the-art learning features for similarity ranking problems. This approach is based on learning a representation in the latent space that clusters the samples belonging to the same class while maximizing the distance between samples belonging to different classes. This results in a fully supervised feature learning approach. The main difference between these two approaches is that AE tries to learn a new set of features that is informatively identical to the original one so that it can be reconstructed correctly, similarity encoder instead learns a new set of features that maximize their separability in correspondence with the target classes.

3 DESIGN

In this section, the design of the proposed approach is detailed. It consists of three functional modules, i.e. data preparation, feature extraction, and degradation stage recognition.

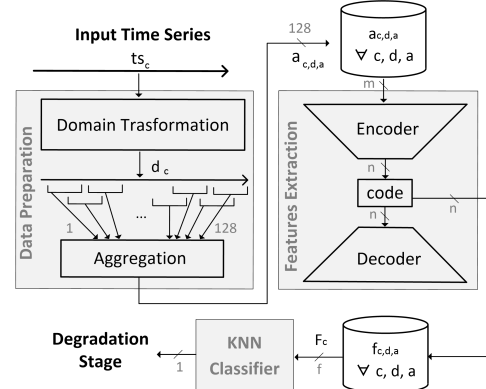


Figure 1: Architecture of the proposed approach.

The *data preparation module* provides the minimal preprocessing needed to use them as inputs for the feature extraction module. The vibration and temperature time series are segmented using semi-overlapping time windows with a duration of 30 seconds. Each segment ts_c is associated with a degradation stage (c is for the time windows count). If an analysis in the time domain can be sufficient with non-fluctuating time series such as the temperature, the vibration time series are often analyzed in the frequency domain (Pandarakone et al., 2018). Thus, the vibration time series are transformed via the discrete Fourier transform by the data preparation module, obtaining d_c (d is for domain transformation, if any). Both the arrays obtained via the discrete Fourier transform of the vibration segment and the temperature segment are split into 128 semi-overlapped parts. Finally, each part is aggregated via its mean or standard deviation and rescaled between 0 and 1 via a min-max procedure, obtaining $a_{c,d,a}$. As the results of the data preparation, each 30 seconds observation results in four $a_{c,d,a}$ arrays of 128 elements: 2 are obtained via the discrete Fourier transform of the vibration signal and 2 via the temperature one. Overall, the data preparation module provides an array $a_{c,d,a}$ for each time windows c , domain transformation d (discrete Fourier transform for the vibration and none for the temperature), and aggregation operator a (mean and standard deviation).

The *feature extraction module* processes the data provided by the data preparation module and learn degradation-representative features F_c to be used in a classification task. To do so, this module employs a

deep autoencoder.

As introduced in Section 2, there are different data fusion strategies that can be realized via autoencoders. Specifically:

- the data-level fusion via the so-called *shared-input autoencoder* (SAE), Fig. 2.a; with this approach, the modalities fusion is obtained by concatenating the data derived from each modality, and then processing them via an autoencoder to learn a multimodal representation
- the architecture-level fusion using a *multimodal autoencoder* (MMAE), in which each modality feeds a distinct part of the neural network of which the autoencoder is made; thanks to some shared layers of neurons these modalities are then recombined to learn a multimodal representation that allows the reconstruction of both modalities (Fig. 2.b)
- the representation-level fusion by processing the data for each modality via different autoencoders; the codes obtained via each modality are then concatenated to build a shared representation between the two modalities. This feature learning approach is referred as *partition-based autoencoder* (PAE), Fig. 2.c.

The above described multi-modal feature learning strategies are depicted in Fig. 2. This Figure shows two generic modalities from which a multimodal representation is going to be learned. The parts of the autoencoders working with one modality are colored in blue (for the first modality) or orange (for the second one). In purple are the parts working in a multimodal fashion. The dashed box highlights the modalities fusion phase.

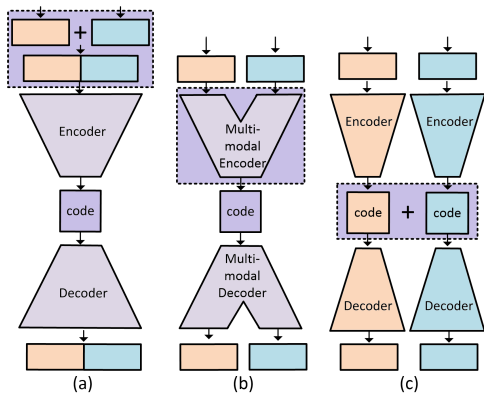


Figure 2: Autoencoder-based multimodal feature learning approaches, i.e. shared-input autoencoder (a), multi-modal autoencoder (b), and partition-based autoencoder (c). In blue and orange are the parts of the autoencoder that work with one single modality. The dashed box highlights the modalities fusion phase.

Once the feature extraction module is properly trained, the learned multimodal representation (i.e. the code, or their concatenation) can be used as a feature for the *degradation stage recognition*.

As specified in Section 1, a proper feature extraction approach should help as much as possible to distinguish the categories investigated (e.g., the degradation stages) and thus simplify their recognition. If that occurs, the assessment of new instances with unknown degradation stages can even be based on a simple measure of distance from instances with known degradation stages, since the new instance would be in proximity to instances characterized by the same degradation stage and far from the others.

To test this capability, the proposed approach uses a K-Nearest-Neighbors Classifier, as provided by the well-known Python library *sci-kit-learn* (Nelli, 2018). Rather than associating instances to classes via a mathematical model, K-Nearest-Neighbors Classifier stores the instances of the training data and classifies new instances according to the most frequent class within its K nearest neighbors. In the proposed implementation K is set equal to one, that is, new instances are assigned the class of the nearest instance of the training data. This choice is made precisely to shift the burden of an effective recognition to high-quality feature extraction.

4 EXPERIMENTAL SETUP

In this section, the experimental dataset and the experimental setup are described. This is used for the evaluation of the effectiveness of the proposed approach.

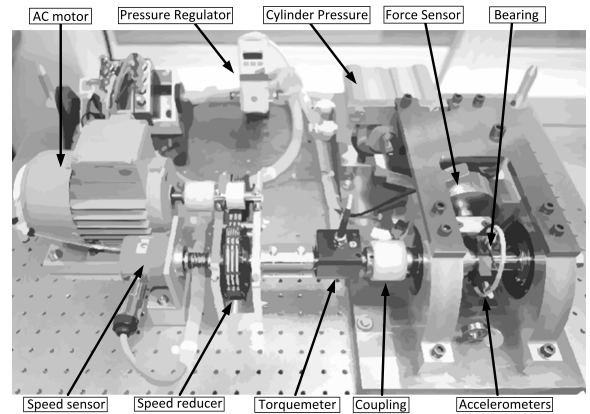


Figure 3: The Pronostia platform.

The dataset used in this study is publicly available at (Nectoux et al., 2012). The data are obtained via the experimental platform Pronostia, collecting the temperature (10 Hz) and vibration (25.6 kHz) time se-

ries during the progressive degradation of industrial bearings under different operative conditions. The three complete case studies provided in the dataset are named B11, B12, and B21 as in (Nectoux et al., 2012).

These run-to-failure time series are segmented into partially overlapping time windows with a duration of 30 seconds. Each segment is associated with a degradation stage of the bearing. In this study, 3 degradation stages are considered: regular, degraded, and critical. The degradation label for each segment is obtained by analyzing the behavior of the vibration signal. In short, the transition between regular and degraded health stages is detected as the instant in which the vibration results consistently equal to or greater than 1 g (Alfeo et al., 2022). On the other hand, the transition from the degraded to the critical health stage is determined by looking for a sudden increase in the Root Mean Square (Mao et al., 2019). More details about this labeling procedure are provided in (Alfeo et al., 2022). As a result, each case study results in a number of instances per degradation stage as detailed in Table 1.

Table 1: Instances per class and case study.

Degradation stage	B11	B12	B21
Regular	1871	748	753
Degraded	1665	319	371
Critical	181	73	74

Regarding the experimental setup, the autoencoders are characterized by a symmetric decoder and encoder. The encoder features 4 layers made of 128, 64, 32, and 16 artificial neurons respectively. The multimodal encoder features the same number of layers and neurons for each modality, except for the most internal one (i.e. with 16 neurons) that is replaced with 3 layers (made of 64, 32, and 16 neurons) shared among the modalities. According to the current feature extraction module, the input layer of the encoder (as well as the output layer of the decoder) varies to fit the input length. This results in a comparable number of trainable parameters for each autoencoder-based feature extraction module. All of them use mean absolute error as training loss, 128 as *batch size*, *Relu* as activation function, and *Adam* as an optimization algorithm. To provide a baseline of the recognition performances, a classic feature extraction approach can be implemented, i.e. by employing a set of largely used "heuristic-based" features for industrial assets' degradation analysis (Hamadache et al., 2019). Specifically:

- 90th, 75th, 50th, and 25th percentile of the time series

- maximum, median, mean absolute deviation, skewness of the time series
- the difference between the global (i.e. of the whole run to failure time series) and local (i.e. of the current time window) mean absolute deviation
- the difference between the global and local median (Alfeo et al., 2020)
- number of continuous time-intervals with values greater than 90th, 75th, 50th, and 25th percentile of the time series (Alfeo et al., 2020), only for the temperature
- number of samples greater than 50% and 25% of the maximum of the time series (Alfeo et al., 2020), only with temperature data
- root mean square, crest factor, impulse factor, peak to peak, entropy, kurtosis of the time series (Hamadache et al., 2019), only for the vibration

In addition to a performance baseline, the experimental comparison employs a state-of-the-art feature learning approach, namely contrastive learning technology, as mentioned in Section 2. Its implementation has been released by Google and made available in September 2021 and known as Tensorflow similarity.

Tensorflow Similarity makes available a Multi-Similarity Loss function which measures the similarity, e.g. inverse of the euclidean distance, between the representation of 3 data points in the embedding space i.e. the anchor, the positive, and the negative. The anchor is similar to the positive, i.e. belongs to the same class, and is dissimilar to the negative, i.e. another class. To achieve these results the framework trains the network in a way that the distance between the anchor sample and the negative sample representations is greater (and bigger than a margin m) than the distance between the anchor and positive representations. Regarding the performance evaluation, the experimental results are presented as a 95% confidence interval (CI) obtained via a 10-repetitions stratified Monte Carlo cross fold validation, featuring 90% of the data as training set and 10% as testing set. The hardware platform used for the experiments employs an CPU Intel Core i5-3337U@1.80GHZ, RAM 6 GB DDR3, and an GPU Nvidia GeForce GT 630M.

The considered classification problem is unbalanced, since the regular stage lasts longer than the critical one and this results in less instances of the more severe degradation stage. For this reason the classification performance is measured in terms of *F1-score* (Nguyen, 2019), i.e. the harmonic mean of precision and recall, where the precision is the number of true positives divided by the number of all positives, and the recall is the number of true positives divided by the number of all samples that should have

been identified as positive (Eq. 1). The highest possible value of an F-score is 1.0, indicating perfect precision, I.e., there are no false positives (e.g. a critical stage recognized as a regular one), and perfect recall, i.e. There are no false negatives (e.g. a regular stage recognized as a critical one), the lowest possible value for the F1-score is 0 if either the precision or the recall is zero, I.e., there are no true positives (e.g. a correctly recognized regular stage). The highest possible value of an F-score is 1.0, indicating perfect precision, I.e., there are no false positives (e.g. a critical stage recognized as a regular one), and perfect recall, i.e. There are no false negatives (e.g. a regular stage recognized as a critical one), the lowest possible value for the F1-score is 0 if either the precision or the recall is zero, I.e., there are no true positives (e.g. a correctly recognized regular stage). For the sake of readability, the F1-score is multiplied by 100, so that the values would be bounded between 0 (worst case) and 100 (best case). Since the classification problem features more than 2 classes, we consider the average of the F1-scores for each class as the global F1-score.

$$F1 = \frac{2 * Precision * Recall}{Precision + Recall} = \frac{2 * TP}{2 * TP + FP + FN} \quad (1)$$

A feature can be considered informative, if it ease the separation of the instances among classes (Lorena et al., 2019). In this regard, class separability metrics may correlate with classification accuracy (Skrypyk, 2011), (Cano, 2013). The class separability metrics allows measuring how well the learned features space helps separating different classes. In this context, the so called *L1 measure* quantifies whether the classes can be linearly separated in the feature spaces (Lorena et al., 2019). Specifically, the L1 measure is computed as the sum of the distances of incorrectly classified examples to a linear boundary used in their classification. If the value of L1 is zero, then the problem is linearly separable and can be considered simpler than a problem for which a non-linear boundary is required. Lower values for L1 (bounded between 0 and 1) indicate that the problem is close to being linearly separable, thus simpler. For the sake of readability, $1 - L1$ is employed as class separability metric, and multiply it by 100, so that the values reported in this work would be bounded between 0 (worst case) and 100 (best case). A qualitative evaluation of the class separability is presented via a visualization of the instances in the learned feature space. By being multidimensional, such feature space is almost impossible to represent graphically. Thus, the feature space is represented via a projections over its two principal components' directions. Of course, such projection is a valid tool for visualization purposes and qualitative analysis, but it may not exhaustively represent the

proximity between the instances in the feature space (Liu et al., 2021).

5 RESULTS

In the following, the different feature extraction approaches are shortened as follows: heuristic-based features (HB), partition-based autoencoder (PAE), shared-input autoencoder (SAE), multi-modal autoencoder (MMAE), similarity-based encoder (SE).

First, it is tested how training epochs impact on the quality of features learned, which is measured in terms of class separability with the L1 measure. Fig. 4 shows such quality as training epochs increase (i.e., 25, 50 and 100 epochs) for each feature learning approach considered.

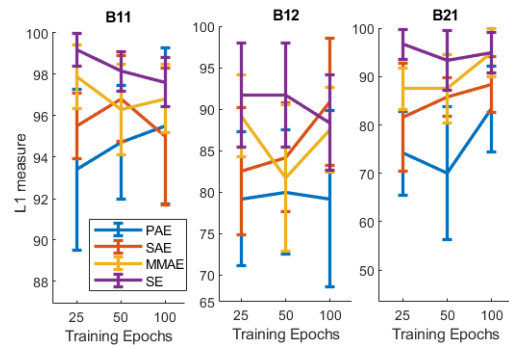


Figure 4: L1 measure by changing the number of training epochs and feature extraction approach. Average and 95% confidence interval.

The trend observed in the results is a non-consistent and almost negligible improvement in the class separability metric. This is also confirmed by considering the results in terms classification performance which are slightly better or comparable despite the number of training epochs used. Indeed, the greatest increase in classification performance is obtained with SAE and the B21 case study: here increasing the number of training epochs from 25 to 100 results in a F1-score increase of 4.01%. By considering just the average separability metrics, PAE seems to results in features with the worst average class separability with 2 cases study over 3, whereas SE results in features with the best average class separability with 2 cases study over 3.

Next, it is tested if the class separability obtained with the features provided by the different Feature Extraction (FE) approaches is correlated to their classification performance. Table 2 reports the the L1 measures obtained with the features provided by each FE approach, with 100 training epochs. Moreover, Table

3 reports the F1-scores obtained with the KNN classifier by using the features obtained with each Feature Extraction (FE) approach, and 100 training epochs. The best average performances for each case study is highlighted in bold.

Table 2: L1 measure using 100 training epochs for each Feature Extraction (FE) approach. Average \pm 95% confidence interval. 3 degradation stages. In italic the AE-based approaches.

FE	B11	B12	B21
<i>PAE</i>	95.79 \pm 3.79	79.17 \pm 10.61	83.33 \pm 8.89
<i>SAE</i>	95.26 \pm 3.30	90.83\pm7.67	88.33 \pm 5.76
<i>MMAE</i>	97.11 \pm 1.65	87.50 \pm 5.07	95.0 \pm 5.03
HB	90.0 \pm 4.7	67.50 \pm 23.92	80.0 \pm 14.11
SE	97.89\pm1.19	88.33 \pm 5.76	95.0 \pm 4.17

Table 3: F1-score using KNN (k=1) and 100 training epochs for each Feature Extraction (FE) approach. Average \pm 95% confidence interval. 3 degradation stages. In italic the AE-based approaches.

FE	B11	B12	B21
<i>PAE</i>	97.79 \pm 0.32	88.04 \pm 2.52	90.47 \pm 2.08
<i>SAE</i>	98.85\pm0.22	93.25\pm1.26	96.76\pm0.96
<i>MMAE</i>	98.88\pm0.27	91.99 \pm 1.74	96.43 \pm 0.68
HB	94.44 \pm 1.91	74.61 \pm 5.2	79.31 \pm 3.81
SE	98.59 \pm 0.29	91.90 \pm 1.99	94.62 \pm 1.7

As shown in Table 3, all feature learning approaches offer better degradation stage recognition performance than the heuristic-based features. Among all the variations of the feature learning module, PAE offers the worst performance, in accordance with the results obtained with the separability metrics in 2. The performances offered by the other approaches are similar. Moreover, there is a clear correlation between the average separability metric obtained in a given case study and the corresponding average classification performance. For example, the average separability metrics in case B11 are all between 90 and 97.11, and the average F1 score is between 94.44 and 98.88. In the case of B21 the average separability metrics are clearly lower and the average F1 score do not exceed 93.25. It is interesting to note that SE has comparable or worse performance than other unsupervised approaches, despite being a supervised approach specifically designed to separate features of different classes in the latent space. To do so, SE employs a much more complex training process, resulting in way longer training time, as confirmed by the training times of the various feature learning modules (Table 4). Besides SE, PAE has a longer training time because it has to be trained for each modality, whereas SAE and MMAE require only one training

procedure. The further difference between MMAE and SAE can be motivated by considering that, with a fully connected neural network, an input twice as long corresponds to a neural network input layer featuring twice as many connections between neurons, that are the actual parameters to train in a neural network. Considering the number of input sensors (i.e. the number of modalities) as a variable for the training time computation it can be seen that SAE, MMAE and SE need a single training phase to handle all the modalities at the same time, PAE instead needs to train one AE for each modality resulting in bigger compressive training time as table 4 shows.

Table 4: Training time [s] of each trainable Feature Extraction (FE) module. Average \pm 95% confidence interval. 3 degradation stages. In italic the AE-based approaches.

FE	B11	B12	B21
<i>PAE</i>	82.27 \pm 1.77	51.60 \pm 1.79	54.07 \pm 1.84
<i>SAE</i>	42.95 \pm 3.08	28.90 \pm 5.64	28.71 \pm 1.98
<i>MMAE</i>	42.76\pm4.11	22.01\pm0.43	22.10\pm1.32
SE	652.62 \pm 90.7	582.66 \pm 19.7	585.64 \pm 12.2

The results suggest that both the feature learning module based on MMAE and SAE are the most convenient for the proposed 3-stages classification problem. The goodness of the proposed approach is also tested with a more complex classification problem, e.g., with a higher number of degradation stages to consider. For this experimentation different degradation stages are considered, i.e. the time intervals in which the bearing is in degradation stages "regular" and "degraded" are splitted (in half) into new degradation stages. The resulting problem is a five-stages bearing degradation recognition. Table 5 reports the classification performance obtained with each variation of the proposed approach, as well as the ones obtained with the heuristic-based feature.

Table 5: F1-score with KNN (k=1) and 100 training epochs for each Feature Extraction (FE) approach. Average \pm 95% confidence interval. 5 degradation stages. In italic the AE-based approaches.

FE	B11	B12	B21
<i>PAE</i>	92.93 \pm 1.06	80.81 \pm 4.06	80.06 \pm 2.51
<i>SAE</i>	97.06 \pm 0.5	88.53\pm1.56	88.97 \pm 2.27
<i>MMAE</i>	97.10\pm0.74	87.90 \pm 1.48	90.11\pm1.07
HB	50.59 \pm 3.62	37.11 \pm 4.75	39.66 \pm 6.87
SE	95.89 \pm 0.98	86.08 \pm 1.88	86.24 \pm 2.89

The classification performance obtained by using the heuristic-based features are dramatically lower compared to the ones obtained with 3 degradation stages. This may be not only due to the higher complexity of the classification task but also due to the

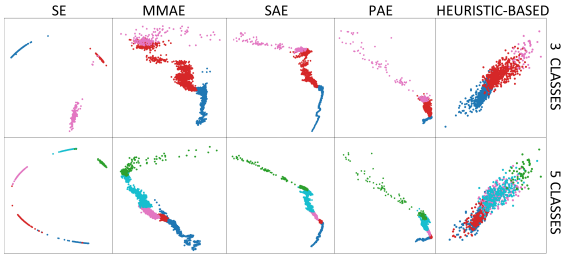


Figure 5: Features learned with the B11 test samples and projected over two Principal Components. The degradation stages ranges from regular (blue) to critical (pink with 3 classes, green with 5 classes).

fact that both the split among the 3 degradation stages and the heuristic-based features are specifically designed to represent some physical characteristics of the degradation phenomenon. Instead, the degradation stages of the 5-stages classification problem are partially arbitrary. All the approaches based on feature learning provide average classification performances greater than 90 with case study B11, and greater than 80 with the others. Also in this case the classification performance of PAE is the worst among all the feature learning approaches. On the contrary, MMAE and SAE provide the best classification performances in all 3 case studies, despite providing lower performances than the ones obtained with the 3-stages classification problem.

Fig. 5 provides a qualitative assessment of how easily the classes can be distinguished by projecting the obtained features onto their 2 principal components. In this regard, even if the samples corresponding to more severe degradation stages are arranged progressively, with the heuristic-based features the samples of different classes seems to be projected pretty close with each others. This partially explain the poor classification performances, especially in the 5-stages classification problem. On the other hand, feature learning approaches result in clearly separable and adjacent group of samples corresponding to more severe degradation stages. This simplifies the classification task even when performed with really simple approaches like KNN with 1 neighbor. Interestingly, this property seems to be maintained even in the 5-stages classification problem, and thus support the good recognition performance obtained in such a classification task.

The obtained results confirm how those feature learning approaches succeed in capturing the progression of the degradation phenomenon, despite being trained in an unsupervised manner, and regardless of the number of degradation stages, as well as their arrangement over time.

6 CONCLUSION

This work proposes an architecture based on autoencoders to automatically extract degradation-representative features from minimally preprocessed time series of vibration and temperature of industrial bearing. By using a publicly available real-world dataset about bearings' progressive degradation to test the proposed approach against manual feature extraction and the state-of-the-art technology in feature learning. According to the obtained results, the autoencoders featuring a data fusion mechanism at data- and architecture-level (i.e. SAE and MMAE) result in features characterized by greater quality. Indeed, those provide easily separable classes in the space of learned features, and thus are able to simplify the classification task even if performed via simple classification approaches, i.e. via a KNN classifier considering just one neighbor.

Compared with manually extracted features, the proposed approach results an increase of the classification performances up to 19%. At the same time, by being data-driven, the proposed approach does not require any effort to choose and design the features to be extracted from the input data. In this work, a minimalist preprocessing procedure is proposed; it consists of a frequency domain transformation for only the vibration time series, and a subsequent window aggregation of the resulting vectors. This input format, passed to each of the feature learning approaches tested, produced features that allow clear separation of classes, as qualitatively confirmed by the projections of the samples onto the principal components of the feature space.

Interestingly, the multimodal autoencoder results in recognition performances that are comparable with the ones obtained via state-of-the-art approaches (i.e. based on contrastive learning) despite being trained in an unsupervised manner, and regardless of the number of degradation stages considered.

The promising results provided in this study leave room for more intensive experimentation as the number and type of input modalities vary. As future works, more recent datasets and better-performing methods than KNN can be used to further test the proposed approach and improve recognition performance. Moreover, assets' degradation can be monitored with measures such as acoustic noise, power consumption, torque and many more. By including all these modalities, it would be possible to test the capacity of the proposed approach to be data-agnostic, that is, valid regardless of the measure used to assess the current degradation stage.

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